

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3298



December 22, 2020

Jeffrey T. Linam
Vice President of Rates & Regulatory
California-American Water Company
4701 Beloit Drive
Sacramento, CA 95838-2434

Dear Mr. Linam,

The Commission has approved California-American Water Company's Advice Letter No. 1315, filed on November 13, 2020, regarding the Phase 3A Settlement Agreement – 2021 Consumption Adjustment Mechanism.

Enclosed are copies of the following revised tariff sheets, effective January 1, 2021, for the utility's files:

P.U.C.	Sheet No.	Title of Sheet
	9859-W	Schedule No. MO-1-SF Monterey County District Tariff Area, General Metered Service
	9860-W	Schedule No. MO-MF Monterey County District Tariff Area, General Metered Service
	9861-W	Schedule No. MO-1C Monterey County District Tariff Area, General Metered Service
	9862-W	Schedule No. CA-LIRA, California American Water Low Income Ratepayer Assistance Program
	9863-W	Table of Contents (Continued), (Page 3)
	9864-W	Table of Contents (Continued), (Page 2)
	9865-W	Table of Contents, (Page 1)

Please contact Bradley Leong at BL4@cpuc.ca.gov or 415-703-2307, if you have any questions.

Thank you,

/s/ROBIN BRYANT

Robin Bryant
Water Division

Enclosures

**CALIFORNIA PUBLIC UTILITIES COMMISSION
DIVISION OF WATER AND AUDITS**

Advice Letter Cover Sheet

Utility Name: California American Water **Date Mailed to Service List:** November 13, 2020

District: Monterey Service Area

CPUC Utility #: U210W **Protest Deadline (20th Day):** December 3, 2020

Advice Letter #: 1315 **Review Deadline (30th Day):** December 13, 2020

Tier 1 2 3 Compliance **Requested Effective Date:** January 1, 2021

Authorization D.18-05-027 **Rate Impact:** \$See AL
See AL%

Description: Phase 3A Settlement Agreement – 2021
Consumption Adjustment Mechanism

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the “Response or Protest” section in the advice letter for more information.

<p>Utility Contact: Nancy Hollingsworth</p> <p>Phone: 916-568-4209</p> <p>Email: Nancy.Hollingsworth@amwater.com</p>	<p>Utility Contact: Jonathan Morse</p> <p>Phone: 916-568-4237</p> <p>Email: Jonathan.Morse@amwater.com</p>
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DWA Contact: Tariff Unit

Phone: (415) 703-1133

Email: Water.Division@cpuc.ca.gov

DWA USE ONLY

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____

APPROVED WITHDRAWN REJECTED

Signature: _____ **Comments:** _____

Date: _____ _____



4701 Beloit Drive
 Sacramento, CA 95838
www.amwater.com

P (916)-568-4251
 F (916) 568-4260

November 13, 2020

ADVICE LETTER NO. 1315

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (U210W) submits this advice letter applicable to its Monterey District water customers.

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
9859-W	Schedule No. MO-1-SF Monterey County District Tariff Area GENERAL METERED SERVICE	9767-W
9860-W	Schedule No. MO-MF Monterey County District Tariff Area GENERAL METERED SERVICE	9775-W
9861-W	Schedule No. MO-1C Monterey County District Tariff Area GENERAL METERED SERVICE	9783-W
9862-W	Schedule No. CA-LIRA California American Water LOW INCOME RATEPAYER ASSISTANCE PROGRAM	9747-W
9863-W	TABLE OF CONTENTS (Continued) (Page 3)	9856-W
9864-W	TABLE OF CONTENTS (Continued) (Page 2)	9857-W
9865-W	TABLE OF CONTENTS (Continued) (Page 1)	9858-W

Purpose:

This advice letter filing is to comply with Decision (D.) 18-05-027, which adopted the Settlement Agreement between California-American Water Company, California Public Advocates (“Cal PA”) (formally known as the Office of Ratepayer Advocates(“ORA”)), Monterey Peninsula Water Management District (“MPWMD”), and Coalition of Peninsula Businesses on Phase 3A Issues (Phase 3A Settlement Agreement). Pursuant to the Phase 3A Settlement Agreement, California American Water is required to file an annual Tier 2 advice letter that provides the actual recorded consumption and legal and court ordered

production limitations for the Monterey Main system customer classes that are covered by the process defined and detailed in the Phase 3A Settlement Agreement.

Background:

California American Water provides metered water service to approximately 38,500 customers in its Monterey Service Area, which is comprised of the Monterey Main, Hidden Hills, Ryan Ranch and Bishop systems. Currently, all Monterey Service Area water customers are billed on a monthly basis. In A.15-07-019, California American Water proposed, among other actions, an annual consumption true-up pilot program (ACPP). The ACPP was later refined and referred to as the consumption adjustment mechanism true-up pilot-program (CAM). As noted in D.18-05-027, the “goal of this program is to adjust rates annually based on updated actual sale in order to stabilize revenues and moderate future Water Revenue Adjustment Mechanism (“WRAM”)/Modified Cost Balancing Account (“MCBA”) balances.

The Parties to the Phase 3A Settlement Agreement supported the ACPP/CAM because, due to continuing supply constraints and unexpectedly large variances in consumption forecasts, there was a need for a reliable and timely process for adjusting the authorized consumption and production forecasts for each annual escalation and attrition year in Monterey. This process would ensure the likelihood that the conservation rates developed for those annual periods will collect the annual authorized revenue requirement and WRAM/MCBA balances will be moderated. The settling parties believed that utilizing a more current consumption forecast could allow customers to better budget their annual water costs, provide the right pricing signals so that all conservation and use restriction signals are timely and consistently provided to customers, address inter-generational equity concerns in the timely recovery of costs in rates and lower rates to customers by shortening the period that accounts accrue interest.

The Commission agreed with the settling parties and adopted the Phase 3A Settlement Agreement in D.18-05-027. The Commission found the ACPP/CAM:

- “provides a reliable and timely process for adjusting the authorized consumption and production forecasts used in setting rates for each escalation and attrition year; and the adjusted rates increase the likelihood of collecting the authorized revenue requirement and moderating WRAM/MCBA balances;”¹
- “provide[s] more rate and bill stability, allow customers to improve their budgets for annual water costs, improve the timeliness and consistency of price information, address intergenerational equity concerns, and reduce interest costs recovered in rates.”²

The Phase 3A Settlement Agreement adopted by the Commission in A.15-07-019 provides the implementation details for the ACPP/CAM covering residential and non-residential customers in the Monterey Main system subject to WRAM/MCBA true-ups. As summarized in D.18-05-027 at pages 7-8, the key elements are:

¹ D.18-05-027, Finding of Fact 9, p.13.

² D.18-05-027, Finding of Fact 10, p.14.

- a. **Applicability:** The ACP/CAM is a pilot program limited to specified customers in specific parts of Applicant's Monterey Main system.¹⁴ It will be evaluated in a subsequent general rate case, and California American Water will provide actual consumption data for the first full year following its implementation for that evaluation.
- b. **Process:** Applicant will file a Tier 2 advice letter on or before November 15. The advice letter will provide actual recorded monthly consumption by classification and by tier from October 1 of the prior year through September 30 of the current year, along with the legal and court ordered production limitations, covered by the ACP/CAM for the applicable Monterey Main system customers. Upon approval of the Tier 2 advice letter, Applicant will file a Tier 1 advice letter to implement new rates January 1 of the subsequent year. The approved data will then replace the adopted quantities beginning January 1 of the subsequent year and be used for future rate adjustments during that year.
- c. **Consumption Data:** Current rates are to be modified as provided in the SA. Proposed rates in the escalation and attrition years will be based on actual recorded consumption or, if lower, the production limit set by court order or legal restrictions.
- d. **Methodology:** Four appendices provide more particulars: (1) detailed customer classifications, (2) a sample calculation based on consumption, (3) a sample calculation based on production limits, and (4) a sample calculation of adjustments to volumetric based surcharges.
- e. **Tracking and Changes:** California American Water will track incremental differences with respect to revenues and production costs and will recalculate consumption-based surcharges.

Request:

In compliance with Decision D.18-05-027, California American Water requests authority to replace the adopted consumption with the actual consumption and production data (from October 1, 2019 through September 30, 2020) beginning January 1, 2021 to be used for future rate adjustments, including all annual step and offset filings, in 2021 until the adopted consumption is updated the following year.

Tier Designation:

This advice letter is submitted pursuant to General Order No. 96-B and D.18-05-027 and is designated as a Tier 2 filing.

Effective Date:

California American Water requests an effective date of January 1, 2021.

RESPONSE OR PROTEST³

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

³ G.O. 96-B, General Rule 7.4.1

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds⁴ are:

- (1) The utility did not properly serve or give notice of the AL;
- (2) The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the AL contain material error or omissions;
- (4) The relief requested in the AL is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
- (6) The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

Email Address:

Water.Division@cpuc.ca.gov

Mailing Address:

CA Public Utilities Commission
Division of Water and Audits
505 Van Ness Avenue
San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

Email Address:

nancy.hollingsworth@amwater.com

sarah.leeper@amwater.com

jonathan.morse@amwater.com

Mailing Address:

4701 Beloit Drive
Sacramento, CA 95838

555 Montgomery Street, Suite 816
San Francisco, CA 94111

4701 Beloit Drive
Sacramento, CA 95838

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be

⁴ G.O. 96-B, General Rule 7.4.2

entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES⁵

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

If you have not received a reply to your protest within 10 business days, please contact Nancy Hollingsworth at (916) 568-4209.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Nancy Hollingsworth

Nancy Hollingsworth
Financial Analyst III - Rates & Regulatory

⁵ G.O. 96-B, General Rule 7.4.3

Schedule No. MO-1-SF
GENERAL METERED SERVICE in the Monterey Service Area Tariff Area
SINGLE FAMILY RESIDENTIAL CUSTOMERS

Sheet 1

APPLICABILITY

Applicable to all water furnished on a metered basis.

TERRITORY

The incorporated cities of Monterey, Pacific Grove, Carmel-by-the-Sea, Del Rey Oaks, Sand City, a portion of Seaside, Hidden Hills and Ryan Ranch subdivisions, Bishop subdivision including the area known as Laguna Seca Ranch Estates, and vicinity and certain unincorporated areas in the County of Monterey.

RATES:

Quantity Rates:

Residential Customers:	<u>Base Rate</u> <u>Per 100 gal (CGL)</u>	(R)
For the first 29.9 CGL.....	\$0.8836	 (R)
For the next 29.9 CGL.....	\$1.3254	
For the next 44.9 CGL.....	\$3.0927	
For the next 67.3 CGL.....	\$5.7436	
For all water over 172.0 CGL.....	\$7.0690	

Service Charge: General Metered

	<u>Per Meter</u> <u>Per Month</u>
For 5/8 x 3/4-inch meter.....	\$21.22
For 3/4-inch meter.....	\$37.16
For 1-inch meter.....	\$74.25
For 1-1/2-inch meter.....	\$232.79
For 2-inch meter.....	\$397.30
For 3-inch meter.....	\$744.94
For 4-inch meter.....	\$1,303.64
For 6-inch meter.....	\$2,793.52
For 8-inch meter.....	\$4,469.64

The Meter Charge is a readiness-to-serve charge which is applicable to all metered service and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

(TO BE INSERTED BY UTILITY) Advice 1315 Decision	ISSUED BY J. T. LINAM DIRECTOR - Rates & Regulatory	(TO BE INSERTED BY C.P.U.C.) Date Filed <u>11/13/2020</u> Effective <u>01/01/2021</u> Resolution
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Schedule No. MO-1-MF
GENERAL METERED SERVICE in the Monterey Service Area Tariff Area
MULTI-FAMILY RESIDENTIAL CUSTOMERS

Sheet 1

APPLICABILITY

Applicable to all water furnished on a metered basis.

TERRITORY

The incorporated cities of Monterey, Pacific Grove, Carmel-by-the-Sea, Del Rey Oaks, Sand City, a portion of Seaside, Hidden Hills and Ryan Ranch subdivisions, Bishop subdivision including the area known as Laguna Seca Ranch Estates, and vicinity and certain unincorporated areas in the County of Monterey.

RATES:

Quantity Rates:

Residential Customers:	<u>Base Rate</u>	
	<u>Per 100 gal (CGL)</u>	
For the first 18.7 CGL.....	\$0.8412	(R)
For the next 18.7 CGL.....	\$1.2619	
For the next 13.1 CGL.....	\$2.9443	
For the next 18.7 CGL.....	\$5.4680	
For all water over 69.2 CGL.....	\$6.7299	(R)

Service Charge: General Metered

Residential Customers:	<u>Per Meter</u>
	<u>Per Month</u>
For 5/8 x 3/4-inch meter.....	\$21.22
For 3/4-inch meter.....	\$37.16
For 1-inch meter.....	\$74.25
For 1-1/2-inch meter.....	\$232.79
For 2-inch meter.....	\$397.30
For 3-inch meter.....	\$744.94
For 4-inch meter	\$1,303.64
For 6-inch meter	\$2,793.52
For 8-inch meter	\$4,469.64

The Meter Charge is a readiness-to-serve charge which is applicable to all metered service and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

(TO BE INSERTED BY UTILITY) Advice 1315 Decision	ISSUED BY J. T. LINAM DIRECTOR - Rates & Regulatory	(TO BE INSERTED BY C.P.U.C.) Date Filed <u>11/13/2020</u> Effective <u>01/01/2021</u> Resolution
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Schedule No. MO-1C
GENERAL METERED SERVICE in the Monterey Service Area Tariff Area
NON-RESIDENTIAL CUSTOMERS

Sheet 1

APPLICABILITY

Applicable to all water furnished on a metered basis to non-residential customers in the service areas defined below.

TERRITORY

The incorporated cities of Monterey, Pacific Grove, Carmel-by-the-Sea, Del Rey Oaks, Sand City, a portion of Seaside, Hidden Hills and Ryan Ranch subdivisions, Bishop subdivision including the area known as Laguna Seca Ranch Estates and vicinity and certain unincorporated areas in the County of Monterey.

RATES

Quantity Rates:

Non-Residential Customers:

	<u>Base Rate</u>	
	<u>Per 100 gal (CGL)</u>	
Division 1.....	\$1.9100	(1)
Division 2.....	\$2.1487	
Division 3.....	\$2.3875	
Division 4.....	\$4.7749	(1)

Service charge: General Metered:

	<u>Per Meter</u>
	<u>Per Month</u>
For 5/8 x 3/4-inch meter.....	\$24.97
For 3/4-inch meter.....	\$37.45
For 1-inch meter.....	\$62.41
For 1-1/2-inch meter.....	\$124.83
For 2-inch meter.....	\$199.73
For 3-inch meter.....	\$374.49
For 4-inch meter.....	\$624.14
For 6-inch meter.....	\$1,248.29
For 8-inch meter.....	\$1,997.26

The Meter Charge is a readiness-to-serve charge which is applicable to all metered service and to which is added the charge for water used computed at the Quantity Rate.

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1315	J. T. LINAM	Date Filed <u>11/13/2020</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>01/01/2021</u>
		Resolution _____

Schedule No. CA-LIRA
California American Water
LOW INCOME RATEPAYER ASSISTANCE PROGRAM

Sheet 4

RATES:

Central Division:

Monterey Service Area

Quantity Rates:

	<u>Base Rate</u>	
	<u>Per 100 gal (CGL)</u>	
For the first 29.9 CGL.....	\$0.6185	(R)
For the next 29.9 CGL.....	\$0.9278	
For the next 44.9 CGL.....	\$2.1649	
For the next 67.3 CGL.....	\$4.0205	
For all water over 172.0 CGL.....	\$7.0690	(R)

Service Charge: General Metered

	<u>Per Meter</u>
	<u>Per Month</u>
For 5/8 x 3/4-inch meter.....	\$14.85
For 3/4-inch meter.....	\$26.01
For 1-inch meter.....	\$51.98
For 1-1/2-inch meter.....	\$162.95
For 2-inch meter.....	\$278.11
For 3-inch meter.....	\$521.46
For 4-inch meter.....	\$912.55
For 6-inch meter.....	\$1,955.46
For 8-inch meter.....	\$3,128.75

Central Satellite -- Ambler Park, Toro, Ralph Lane, Garrapata Service Areas

Quantity Rates:

	<u>Base Rate</u>
	<u>Per 100 gal (CGL)</u>
For the first 59.8 CGL.....	\$0.5177
For the next 74.8 CGL.....	\$0.8629
For the next 650.8 CGL.....	\$1.2943
For all water over 785.4 CGL.....	\$1.8875

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 1315

J. T. LINAM

Date Filed 11/13/2020

Decision

DIRECTOR - Rates & Regulatory

Effective 01/01/2021

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C.P.U.C. SHEET NO.

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MO-1C	General Metered Service	9861-W, 9784-W, 9785-W, 9786-W, 9787-W, 9788-W,	(C)
	Non-Residential Customers	9789-W, 9790-W, 9822-W, 9614-W, 9852-W	
MO-1O	General Metered Service	9792-W, 9793-W, 9794-W, 9795-W, 9796-W, 9797-W,	
	Other Customers	9823-W, 9615-W, 9853-W	

Central Satellite

CEN-1	General Metered Service	9799-W, 9800-W, 9801-W, 9802-W, 9824-W, 9804-W	
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Northern District

ND-1	General Metered Service	9391-W, 9392-W, 9393-W, 9394-W, 9164-W, 9442-W, 9827-W, 9593-W, 9828-W, 9595-W	
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San Diego County District

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Ventura County District

VN-1	General Metered Service	9377-W, 9378-W, 9695-W, 9220-W, 9836-W	
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(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 1315

J. T. LINAM

Date Filed 11/13/2020

Decision

DIRECTOR - Rates & Regulatory

Effective 01/01/2021

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<u>RATE SCHEDULES:</u>	
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(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1315	J. T. LINAM	Date Filed <u>11/13/2020</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>01/01/2021</u>
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(TO BE INSERTED BY UTILITY)

Advice 1315
Decision

ISSUED BY

J. T. LINAM
DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed 11/13/2020
Effective 01/01/2021
Resolution _____

MONTEREY COUNTY DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1315

BY MAIL:

Joe Lucido
25417 Boots Road
Monterey, CA 93940

Los Angeles Docket Office
California Public Utilities Commission
320 West 4th Street, Suite 500
Los Angeles, CA 90013

Alco Water Service
249 Williams Road
Salinas, CA 93901

Monterey Regional Water Pollution
Control Agency (MRWPCA)
5 Harris Court Road. Bldg D.
Monterey, CA 93940

Monterey Peninsula Water Mgmt Dist.
Chief Financial Officer
P.O. Box 85
Monterey, CA 93942

Yazdan Emrani, P.E.
Deputy Pub Works Director – Operations
Monterey County DPW
168 W. Alisal Street, 2nd floor
Salinas, CA 93901-2680

City of Pacific Grove
c/o Community Development Department
Attention: Sarah Hardgrave
300 Forest Ave., 2nd floor
Pacific Grove, CA 93950

City of Pacific Grove
City Attorney/City Hall
300 Forest Ave 2nd floor
Pacific Grove, CA 93950

Ann Camel
City Clerk
City of Salinas
200 Lincoln Avenue
Salinas, CA 93901

City of Sand City
City Hall
California & Sylvan Avenues
Sand City, CA 93955
Attn: City Clerk

Deborah Mall, City Attorney
City of Monterey
512 Pierce Street
Monterey, CA 93940

Karen Crouch
City Clerk,
Carmel-By-The-Sea
PO Box CC
Carmel-by-the-Sea, CA 93921

Darryl D. Kenyon
Monterey Commercial Property Owners
Association
P.O. Box 1953
Monterey, CA 93942

Irvin L. Grant
Deputy County Counsel
County of Monterey
168 W. Alisal Street, 3rd floor
Salinas, CA 93901-2680

Marc J. Del Piero
4062 El Bosque Drive
Pebble Beach, CA 93953-3011

Vibeke Norgaard
City Attorney of Sand City
P.O. Box 183
Carmel, CA 93921

MONTEREY COUNTY DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1315

By E-MAIL:

Richard Rauschmeier
California Public Utilities Commission
DRA - Water Branch, Rm 4209
505 Van Ness Ave
San Francisco, CA 94102
rra@cpuc.ca.gov

City of Del Rey Oaks
City Hall
650 Canyon Del Rey Road
Del Rey Oaks, CA 93940
Attn: City Clerk
citymanager@delreyoaks.org
kminami@delreyoaks.org

City of Seaside, City Hall
Attn: City Clerk
Seaside, CA
dhodgson@ci.seaside.ca.us
to'halloran@ci.seaside.ca.us

David C. Laredo and Fran Farina
DeLay & Laredo
606 Forest Ave
Pacific Grove, CA 93950
dave@laredolaw.net
fran@laredolaw.net

Jon Giffen
City Attorney
City of Carmel-By-The-Sea
P.O. Box 805
Carmel-By-The-Sea, CA 93921
jgiffen@kaglaw.net

Brent Reitz
Capital Services
P.O. Box 1767
Pebble Beach CA 93953
reitzb@pebblebeach.com

Monterey Peninsula Water Mgmt Dist.
Chief Financial Officer
P.O. Box 85
Monterey, CA 93942
suresh@mpwmd.net

Ms. Lisa Bilir
California Public Utilities Commission
Division of Ratepayer Advocates
505 Van Ness Avenue
San Francisco, CA 94102

Bernardo R. Garcia
PO Box 37
San Clemente, CA 92674-0037
uwua@redhabanero.com

George Riley
Citizens for Public Water
1198 Castro Road
Monterey, CA 91940
georgetriley@gmail.com

Mike Niccum
General Manager
Pebble Beach Community Services District
3101 Forest Lake Road
Pebble Beach, CA 93953
mniccum@pbcsd.org

Carmel Area Wastewater District
3945 Rio Road
Carmel, CA 93923
buikema@cawd.org

Lloyd Lowery Jr.
Noland, Hammerly, Etienne & Hoss P.C.
333 Salinas St
PO Box 2510
Salinas, CA 93902-2510
lloyre@nheh.com

David Heuck
Accounting
2700 17 Mile Drive
Pebble Beach, CA 93953
heuckd@pebblebeach.com

Arlene Tavani
Monterey Peninsula Water Mgmt Dist.
Executive Assistant
arlene@mpwmd.net

Division of Ratepayer Advocates
California Public Utilities Commission
dra_water_al@cpuc.ca.gov

Laura L. Krannawitter
California Public Utilities Commission
Executive Division, Rm 5303
505 Van Ness Avenue
San Francisco, CA 94102
llk@cpuc.ca.gov

Jim Heisinger
P.O. Box 5427
Carmel, CA 93921
hbm@carmellaw.com

City of Monterey
City Hall
Monterey, CA 93940
Attn: City Clerk
connolly@ci.monterey.ca.us

Gail T. Borkowski, Clerk of the Board
County of Monterey
P.O. Box 1728
Salinas, CA 93902
boydap@co.monterey.ca.us

City of Salinas
Vanessa W. Vallarta – City Attorney
200 Lincoln Avenue
Salinas, CA 93901
vanessav@ci.salinas.ca.us
chrisc@ci.salinas.ca.us

John K. Hawks
Executive Director
California Water Association
601 Van Ness Avenue, Suite 2047
San Francisco, CA 94102-3200
jhawks_cwa@comcast.net

Sheri Damon
City of Seaside, City Attorney
440 Harcourt Avenue
Seaside, CA 93955
cityatty@ix.netcom.com